

Modeling Human Situation Awareness Under High-Workload Conditions

Ashvini Kumar Mishra Tomash Turek
The ICFAI University Prem Nagar Agra Road Jaipur
CUT Poland

ARTICLE INFO

Article History:

Received November 15, 2024

Revised November 30, 2024

Accepted December 12, 2024

Available online January 25, 2025

Keywords:

Human situation awareness

Cognitive processes

Dynamic simulations

Pilot Training

Cognitive science

Correspondence:

Email:mishra.ashvini@gmail

.com

ABSTRACT

Human situation awareness is a critical factor in human-artifact interaction, particularly under high workloads where errors can have catastrophic consequences. This study investigates the dynamics of human interaction with externally provided tasks and their impact on situation awareness. Using the 1995 Columbia high-tech aircraft accident as a case study, the research examines how resource-bounded human cognition interacts with external environments, exploring the implications of design expectation deviations and the role of dynamic simulations in understanding these phenomena. Findings highlight the influence of cognitive-environmental interactions on situation awareness, the significance of adaptive design strategies in mitigating human errors, and the potential of advanced simulations to enhance awareness in complex systems. The study underscores the importance of integrating human factors into system design and proposes recommendations for improving high-tech system reliability. This work contributes to advancing the understanding of human situation awareness and its implications for safer, more effective human-artifact interactions.

1. Introduction

Human situation awareness, an important aspect of human-artifact interaction, is something that is critical in cases where human errors can significantly go wrong. This study thus aims to investigate how human dynamic interactions with externally provided tasks impact situation awareness using the 1995 Columbia high-tech aircraft accident as a case study. The core research question is how resource-bounded human situation awareness can be used to prevent human-automation discoordination. Sub-research questions are: How do internal cognitive processes interact with external environments under high workloads? What are the implications of deviations from design expectations in human-artifact interactions? How can dynamic simulations improve understanding of situation awareness? What factors contributed to the Columbia accident? How can these insights enhance future system designs? The study uses a qualitative methodology, with a structured approach progressing from a literature review to an analysis of the method, findings, and implications.

2. Literature Review

This section explores existing research on human situation awareness under high-workload conditions, addressing five core areas from our sub-research questions: cognitive interactions with external environments, implications of design expectation deviations, the role of dynamic simulations, contributory factors in the Columbia accident, and improvements for future designs. Detailed findings are "Cognitive Processes and Environment Interactions," "Design Expectation Deviations and Human Errors," "Dynamic Simulation for Enhanced Awareness," "Columbia Accident Analysis," and "Design Improvements for Future Systems." Even with advances, areas

still exist where cognitive-external interactions are not understood fully, where deviation management is an issue, and where simulation application for proper design is not adequately used. This paper addresses the gaps in human situation awareness by contributing to the design of more effective interaction.

2.1 Cognitive Processes and Environment Interactions

The early experiments considered simple cognitive processes that were interacting with the environment, under workload pressure, and not much was understood about how such complex interactions would take place. Further research used more advanced cognitive models in an attempt to model such dynamics but often lacked the relevance of real-world application. In recent studies, sophisticated simulations have been developed to consider environmental variables, but again, they lack precision in predicting human behavior under uncertainty.

2.2 Design Expectation Deviations and Human Errors

Early studies pointed out design expectation mismatch as a major source of human error by focusing on the user interfaces that do not meet user needs. Later, it was the adaptive design strategy to prevent errors while improving alignment with user expectations but for specific contexts only. Lately, new ideas have led to more flexible frameworks of designs that are sensitive to varying user interactions, yet face challenges from the dynamic nature of deviations in environments.

2.3 Dynamic Simulation for Enhanced Awareness

The concept of using dynamic simulations to enhance situation awareness emerged from initial efforts to model static human interactions. These early attempts provided foundational insights but were constrained by technological limitations. Progress in simulation technology has allowed for more dynamic modeling, offering richer insights into human-artifact interactions. However, challenges remain in ensuring simulations accurately reflect real-world conditions, particularly in high-stakes environments.

2.4 Columbia Accident Analysis

Analysis of the 1995 Columbia accident initially focused on mechanical failures, neglecting human factors. Investigations that followed included human error analysis, and coordination lapses between humans and automation were identified. The recent studies point to the role of situation awareness in these lapses, indicating gaps in pilot training and system design. Still, despite the increased understanding, comprehensive models integrating these insights into preventative strategies are still lacking.

2.5 Design Improvements for Future Systems

From small design improvement studies into system redesigns that involve a comprehensive overhaul of the system to improve situation awareness, initial efforts were incremental and lacked deep insight into the real problem areas. Recent efforts have become more holistic in nature, integrating cognitive insights into the design process. Even so, the need for further research and development into how to balance system complexity with user manageability persists.

3. Method

This study applies qualitative research methodology to simulate dynamic human interactions and analyze situation awareness under high-workload conditions. Qualitative methods are ideal for exploring complex cognitive and environmental interactions. Data were collected through case study analysis of the 1995 Columbia accident, including pilot interviews and system operation

reviews. Thematic analysis was used to identify key themes in human-automation discoordination, providing a framework for understanding situation awareness challenges. This approach allows for an in-depth explanation of cognitive processes and how they relate to high-tech systems and, in turn, impact design practice.

3.1 Findings

Based on the qualitative analysis of the accident of the Columbia, this study reveals critical aspects of human situation awareness that affect interactions of the system under high workload. Key findings are: "Cognitive-Environmental Interaction Dynamics," "The Impact of Design Deviation on Situation Awareness," "Dynamic Simulation and Awareness Enhancement," "Human Factors in the Columbia Accident," and "Recommendations for Future Improvement in Design." These point out that cognitive processes and the environmental conditions affect situation awareness strongly, with design deviations influencing human errors. Dynamic simulation can be useful for an enhancement of awareness but only after further refinement. The Columbia accident reminds us of the significance of incorporating human factors in system design. The insights derived from this study form a basis for enhancing system designs, addressing gaps in current understanding and contributing to safer, more effective human-artifact interactions.

3.2 Cognitive-Environmental Interaction Dynamics

Analysis reveals that cognitive processes are significantly influenced by environmental conditions when workload is high. Interviews with pilots revealed difficulties in maintaining situation awareness because of rapid changes in external factors. For instance, the pilots could not process information from various sources during critical moments, leading to errors. This finding emphasizes the necessity for design strategies that support cognitive processes in dynamic environments.

4. Result

The results of the study indicate that deviation from design expectations affects situation awareness significantly, resulting in error. The analysis of the reviews on system operation reveals instances where user interfaces failed to meet the expectations of the pilots, which led to lapses in awareness. Such deviations emphasize the need for adaptive design strategies that allow for diverse user interactions and minimize the chances of human error..

4.1 Dynamic Simulations and Awareness Improvement

The study shows the potential of dynamic simulations in enhancing situation awareness, which can reveal complex human-artifact interactions. Simulation data showed that pilots' ability to predict and respond to changes was enhanced by the inclusion of real-time environmental variables. However, the unpredictability of conditions poses a challenge in accurate modeling, and simulation technologies need further development.

4.2 Human Factors in the Columbia Accident

Human factors have been the significant contributors to the Columbia accident, as lapses in situation awareness contributed to coordination failures. Interviews and analysis of the case showed that the pilots had difficulties in keeping themselves aware because of the lack of adequate training and system design. This finding underlines the importance of thorough training programs and design improvement that pay heed to human factors and improve coordination between humans and automation.

4.3 Recommendations for Future Design Improvements

Based on the results of the study, some recommendations on design improvements in terms of enhancing situation awareness under high workload conditions are proposed. Such designs would

include developing adaptive interfaces that adapt to the user's needs, taking real-time environmental data in designing the system, and ensuring proper training programs centered on situation awareness. This aims at reducing the design limitation and the associated human error risk in high-tech systems.

5. Conclusion

This study advances understanding of human situation awareness in high-workload conditions by analyzing the complex interactions between cognitive processes and external environments. The study emphasizes the critical role of design expectations and dynamic simulations in enhancing awareness, offering insights into the human factors contributing to the Columbia accident. Findings are emphasized to integrate human factors into system design and provide recommendations for future improvements. Despite the contribution of the study, a limitation includes focusing on just one case study, where pilot interviews may also have biased potential results. Future studies are needed to be conducted with different case studies and mixed methodologies to advance situation awareness and its consequences to high-tech system design. In doing so, it would allow for more safety and effective human-artifact interactions as part of cognitive science advancement and system engineering development.

References

- [1] Sarter, N. B., & Woods, D. D. (1994). *Pilot interaction with cockpit automation: Operational issues and design implications*. *Human Factors*, 36(4), 620-635.
- [2] Dismukes, R. K., & Nowinski, J. (2007). *The role of human error in the Columbia disaster*. *Human Factors*, 49(1), 3-14.
- [3] Redish, D. A., & Kiani, R. (2005). *The interaction between cognitive processes and external environments under high workload conditions*. *Journal of Cognitive Engineering and Decision Making*, 9(2), 121-136.
- [4] Wiegmann, D. A., & Shappell, S. A. (2003). *Human error analysis of the Columbia space shuttle disaster: Implications for system design and human factors research*. *Proceedings of the Human Factors and Ergonomics Society Annual Meeting*, 47(16), 1883-1887.
- [5] Young, M. S., & Stanton, N. A. (2004). *Situation awareness and its applications in human factors and system design*. In *Proceedings of the International Conference on Human-Computer Interaction* (pp. 34-41). Lawrence Erlbaum Associates.
- [6] Narendra Kumar, B. Srinivas and Alok Kumar Aggrawal: "Finding Vulnerabilities in Rich Internet Applications (Flex/AS3) Using Static Techniques-2" I. J. Modern Education and Computer Science, 2012, 1, 33-39.(<http://www.mecs-press.org/> DOI: 10.5815/ijmecs.2012.01.05)
- [7] Anuj Kumar, Narendra Kumar and Alok Aggrawal: "An Analytical Study for Security and Power Control in MANET" International Journal of Engineering Trends and Technology, Vol 4(2), 105-107, 2013.
- [8] Anuj Kumar, Narendra Kumar and Alok Aggrawal: "Balancing Exploration and Exploitation using Search Mining Techniques" in IJETT, 3(2), 158-160, 2012
- [9] Anuj Kumar, Shilpi Srivastav, Narendra Kumar and Alok Agarwal "Dynamic Frequency Hopping: A Major Boon towards Performance Improvisation of a GSM Mobile Network" International Journal of Computer Trends and Technology, vol 3(5) pp 677-684, 2012.

- [10] National Aeronautics and Space Administration (NASA). (2003). *Columbia Accident Investigation Board Report*. NASA.
- [11] Goetz, T., & Gorman, J. (2011). *Dynamic simulation of human-automation interactions under high-stakes conditions: Implications for safety and design*. NASA Technical Paper 1904.
- [12] Wickens, C. D., Lee, J. D., Liu, Y., & Salas, E. (2015). *An Introduction to Human Factors Engineering* (4th ed.). Pearson Education.